



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Fourth Quarter Fiscal Year 2015-16
(April, May, June)

Submitted August, 2016



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Director

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Introduction

The **Agency for Persons with Disabilities (APD)** administers Medicaid waivers providing supports to 31,000 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. APD also provides limited services to over 20,000 individuals on the Waiting List for waiver services. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome (as of July 1, 2011), Prader-Willi syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability.

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of April through June 2016, over 1,200 individuals on the Waiting List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and over 10,500 Medicaid eligible individuals received some state services through the Medicaid State Plan. About 10,000 individuals on the Waiting List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

Historical Overview

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013, APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

Glossary of Terms Used in Report

APD - Agency for Persons with Disabilities

CDC+ Program - Consumer-Directed Care Plus Program

iBudget Waiver - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

IFS - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments *

Month	iBudget CDC		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments
Apr-16	2,515	\$6,661,619	30,011	\$67,127,105	32,526	\$73,788,724.14
May-16	2,547	\$6,652,262	30,044	\$77,315,476	32,591	\$83,967,738.06
Jun-16	2,581	\$6,841,864	30,314	\$75,224,832	32,715	\$82,066,696.67

* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

**As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of August 1, 2016.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC	iBudget	IFS	Room\Board	Client Total*
Apr-16	2,531	28,119	597	545	30,717
May-16	2,525	30,362	514	526	30,872
Jun-16	2,558	30,259	566	452	30,842

*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of August 1, 2016.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Apr-16	32,526	17,849	54.88%
May-16	32,591	17,364	53.28%
Jun-16	32,715	16,408	50.15%

Note: Enrolled as of the first day of the month in which the services were received.
Source: ABC Database and Medicaid HP Data Warehouse as of August 1, 2016.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

Service Description	iBudget		
	Apr-16	May-16	Jun-16
Adult Dental Services	872	888	1,232
Behavior Analysis - Level 1	953	1,003	1,041
Behavior Analysis - Level 2	658	677	722
Behavior Analysis - Level 3	1,897	1,903	1,957
Behavior Analysis Assessment	44	52	76
Behavior Assistant Services	249	249	238
CDC Monthly Allowance	2,498	2,477	2,531
Consumable Medical Supplies	4,510	4,349	4,579
Dietitian Services	73	62	68
Durable Medical Equipment	57	39	54
Environmental Accessibility Adaptations	0	24	48
Environmental Accessibility Adaptations -- Assessment	25	0	0
Incontinence Supplies; All Types	5,566	5,753	6,258
Life Skills Development - Level 1 (Companion)	3,095	3,180	3,311
Life Skills Development - Level 2 (Supported Empl - Group)	17	15	25
Life Skills Development - Level 2 (Supported Empl - Individual)	1,328	1,331	1,428
Life Skills Development - Level 3 (ADT) - Facility Based	11,007	10,658	11,063
Life Skills Development - Level 3 (ADT) - Off Site	113	114	127

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	iBudget		
	Apr-16	May-16	Jun-16
Occupational Therapy	369	404	464
Occupational Therapy - Assessment	4	7	5
Personal Emergency Response System - Installation	0	2	1
Personal Emergency Response System - Service	112	129	129
Personal Supports	10,251	10,209	10,498
Physical Therapy	850	853	891
Physical Therapy - Assessment	10	8	13
Private Duty Nursing	236	236	244
Residential Habilitation - Assisted Living Facility (month)	318	367	381
Residential Habilitation - Behavioral Focus (day)	56	53	69
Residential Habilitation - Intensive Behavior (day)	401	401	391
Residential Habilitation - Intensive Behavior CTEP (day)	157	155	157
Residential Habilitation - Standard (day)	248	216	243
Residential Habilitation (month)	4,542	6,959	7,138
Residential or Skilled Nursing - LPN	105	96	109
Residential or Skilled Nursing - RN	37	39	38
Respiratory Therapy	31	31	39
Respiratory Therapy - Assessment	0	0	0
Respite (under 21 only)	1,585	1,600	1,653
Respite, Skilled	9	8	8
Special Medical Home Care	19	19	19
Specialized Mental Health Assessment	1	1	6
Specialized Mental Health Counseling	183	162	197
Speech Therapy	398	418	457
Speech Therapy - Assessment	3	2	1
Support Coordination	22,112	21,942	23,858
Support Coordination - CDC Consultant	1,666	1,673	1,846
Support Coordination (Enhanced)	22	22	20
Support Coordination (Limited)	2,428	2,438	2,524
Support Coordination (Limited) - CDC	344	354	388
Supported Living Coaching	3,424	3,341	3,490
Transportation - mile	69	82	81
Transportation - month	1,073	1,057	1,140
Transportation - trip	6,580	6,389	6,711
Unduplicated Client Count	30,650	30,784	31,192

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid HP Data Warehouse as of August 1, 2016.

2. Services Received by Persons on the Waiting List

Table 2a lists APD services received in April, May, and June 2016 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Waiting List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan and other state and local resources.

Table 2a: Client Counts of Services Provided
by APD to Clients Waiting for Waiver Services as
of April 1, May 1, and June 1, 2016

	Service Month		
	Apr-16	May-16	Jun-16
Total Wait List at Beginning of Month*	20,590	20,514	20,499
Paid Service			
ADULT DAY TRAINING	314	306	317
BEHAVIOR ANALYSIS	12	15	9
COMMUNITY BASED EMPLOYMENT	93	122	149
DENTAL SERVICES		2	1
ELIGIBILITY AND PLANNING	2	5	13
HOME ASSISTANCE	25	23	20
LONG-TERM RESIDENTIAL SVS	31	25	19
MEDICAL SERVICES	1	2	1
PERSONAL/FAMILY CARE SVS	18	27	12
OCCUPATIONAL THERAPY			
PHYSICAL THERAPY			
PSYCHOLOGICAL THERAPY	47	45	52
RECREATIONAL THERAPY		1	41
RESIDENTIAL HABILITATION SVS	27	27	22
RESPIRE CARE	51	49	40
SPEECH THERAPY			
SUPPLIES/EQUIPMENT	35	57	52
SUPPORT COORDINATION	649	637	534
SUPPORTED LIVING	28	25	27
TRANSPORTATION	135	137	160
PRESUPPORTED TRANSITIONAL LIVING	38	36	37
Unduplicated Client Total	1,183	1,201	1,155

Source: Waiting List and ABC Databases as of August 1, 2016.

Table 2b provides client counts of persons on the Waiting List who received APD services (see Table 2a) or Medicaid State Plan services. The APD services are provided with state General Revenue and Social Services Block Grant funds. Because some clients received both APD and Medicaid State Plan services, the client count in the fourth row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Waiting List clients who received neither APD services nor Medicaid State Plan services. Note that some Waiting List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of April 1, May 1, and June 1, 2016*

Row		Service Month		
		Apr-16	May-16	Jun-16
1	Total Waiting List at Beginning of Month*	20,590	20,514	20,499
2	Client Count for APD Non-Medicaid Services	1,183	1,201	1,155
3	Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	9,680	9,597	9,503
4	All Waiting List Clients Receiving Services**	10,431	10,344	10,224
5	Count of Waiting List Clients Not Receiving Services	10,159	10,170	10,275
6	Percent of Waiting List Not Receiving Services	49.34%	49.58%	50.12%

*Clients are counted only once regardless of the number of different services they received.

** Unduplicated count for the clients receiving Medicaid services or APD services or both.

***Based on historical payment patterns, Medicaid State Plan services may be undercounted due to unsubmitted claims for the reported service months as of the effective date.

Source: Waiting List, ABC Databases and Medicaid HP Data Warehouse as of August 1, 2016.

3. Waiver Enrollment in Fiscal Year 2015-16

Table 3 summarizes new waiver enrollments for FY 2015-16. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. CBC Children are children on the Waiting List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Waiting List as specified in proviso.

Table 3: New Waiver Enrollment

Month	Crisis Enrolled	Waitlist Offered & Enrolled	CBC Kids Enrolled	Total Enrolled
Apr-14	44	15	4	63
May-14	63	10	4	77
Jun-14	52	137	5	194
Jul-14	54	94	5	153
Aug-14	41	649	4	694
Sep-14	57	37	2	96
Oct-14	61	43	3	107
Nov-14	61	16	3	80
Dec-14	53	10	7	70
Jan-15	31	20	1	52
Feb-15	47	14	8	69
Mar-15	53	11	4	68
Apr-15	84		9	93
May-15	76		5	81
Jun-15	55	11	4	70
Jul-15	91	16	16	123
Aug-15	71	6	8	85
Sep-15	101	11	18	130
Oct-15	105	10	16	131
Nov-15	87	35	9	131
Dec-15	57	644	7	708
Jan-16	51	20	5	76
Feb-16	50	21	4	75
Mar-16	58	21	11	90
Apr-16	74	118	4	196
May-16	90	77	16	183
Jun-16	73	69	13	155
Total	1,740	2,115	195	4,050

Source: APD Database as of August 1, 2016 and other ABC tracking systems.

4. Length of Wait for Waiver Services

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Waiting List if they currently do not need services or do not qualify for Medicaid at the time. These counts include individuals who declined waiver enrollment offers and those who received other state assistance.

Table 4: Length of Wait for Any Waiver Services
as of August 1, 2016

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
1 Year or Less	August 1, 2015 or later	1,850	9.0
1+ to 2 Years	August 1, 2014 - July 31, 2015	1,660	8.1
2+ to 3 Years	August 1, 2013 - July 31, 2014	1,130	5.5
3+ to 4 Years	August 1, 2012 - July 31, 2013	1,165	5.7
4+ to 5 Years	August 1, 2011 - July 31, 2012	1,272	6.2
5+ to 6 Years	August 1, 2010 - July 31, 2011	1,403	6.8
6+ to 7 Years	August 1, 2009 - July 31, 2010	1,439	7.0
7+ to 8 Years	August 1, 2008 - July 31, 2009	1,483	7.2
8+ to 9 Years	August 1, 2007 - July 31, 2008	1,515	7.4
9+ to 10 Years	August 1, 2006 - July 31, 2007	1,680	8.2
More than 10 Years	On or before July 31, 2006	5,981	29.1
Total Wait List*		20,578	100.0

Source: Waiting List Database as of August 1, 2016.

5. Projected Waiver Costs and Appropriations

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2015-16 Waiver Budget Forecast

FY 2015-16 APD WAIVER PROJECTIONS Blended rate adopted by the SSEC for FY 2015-16	General Revenue	Trust Funds	Total
	0.3949	0.6051	
Appropriation	\$ 393,639,949	\$ 601,153,957	\$ 994,793,906
Corrected FMAP Adjustment			\$ -
Supplemental Appropriation - BOB Section 29 for US DOL	\$ 3,649,549	\$ 5,912,749	\$ 9,562,298
Agency Budget Amendment - EOG #B0496	\$ 49,660,098	\$ 75,160,200	\$ 124,820,298
New Appropriation	\$ 446,949,596	\$ 682,226,906	\$ 1,129,176,502
Less FY 2014-15 Projected Deficit	\$ -	\$ -	\$ -
Less FY 2015-16 Estimated Expenditures	\$ (389,422,305)	\$ (594,078,605)	\$ (983,500,910)
Less Expenditures from FY 2014-15 Paid in FY 2015-16 (Due to Reversion)	\$ (57,074,673)	\$ (87,454,760)	\$ (144,529,433)
Total APD Waiver Balance FY 2015-16	\$ 452,618	\$ 693,541	\$ 1,146,159

Per section 40, Chapter 2015-232, Laws of Florida, the unexpended balance of the HCBS Waiver category is reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.