



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Third Quarter Fiscal Year 2014-15
(January, February, March)

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Director

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Introduction

The **Agency for Persons with Disabilities (APD)** administers Medicaid waivers providing supports to 30,000 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome (as of July 1, 2011), Prader-Willi syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability.

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of January through March 2015, about 1,200 individuals on the Wait List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and another 11,500 Medicaid eligible individuals received some state services through the Medicaid State Plan. About 9,200 individuals on the Wait List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

Historical Overview

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013 APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

Glossary of Terms Used in Report

APD - Agency for Persons with Disabilities

CDC+ Program - Consumer-Directed Care Plus Program

iBudget Waiver - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

IFS - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

Month	iBudget CDC		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments
Jan-15	2,135	\$5,724,992	28,823	\$57,833,460	30,958	\$63,558,452.24
Feb-15	2,178	\$5,548,469	28,793	\$68,741,125	30,971	\$74,289,593.23
Mar-15	2,205	\$7,292,009	28,787	\$68,270,354	30,992	\$75,562,362.98

* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

**As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of May 1, 2015.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC	iBudget	IFS	Room\Board	Client Total*
Jan-15	2,169	26,997	516	496	29,216
Feb-15	2,192	27,341	481	469	29,564
Mar-15	2,207	27,376	485	433	29,618

*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of May 1, 2015.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Jan-15	30,958	17,253	55.73%
Feb-15	30,971	18,207	58.79%
Mar-15	30,992	17,451	56.31%

Note: Enrolled as of the first day of the month in which the services were received.
Source: ABC Database and Medicaid HP Data Warehouse as of May 1, 2015.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services by Month of Service

Service Description	iBudget		
	Jan-15	Feb-15	Mar-15
Adult Dental Services	619	721	865
Behavior Analysis - Level 1	1,090	1,027	978
Behavior Analysis - Level 2	676	642	569
Behavior Analysis - Level 3	1,962	1,836	1,732
Behavior Analysis Assessment	54	42	46
Behavior Assistant Services	362	338	315
CDC Monthly Allowance	2,148	2,159	2,187
Consumable Medical Supplies	5,969	5,367	5,568
Dietitian Services	68	64	62
Durable Medical Equipment	45	37	40
Environmental Accessibility Adaptations	10	9	7
Environmental Accessibility Adaptations -- Assessment	16	10	10
Incontinence Supplies; All Types	5,943	5,528	5,676
Life Skills Development - Level 1 (Companion)	3,166	3,072	3,011
Life Skills Development - Level 2 (Supported Empl - Group)	28	25	20
Life Skills Development - Level 2 (Supported Empl - Individual)	1,467	1,418	1,375
Life Skills Development - Level 3 (ADT) - Facility Based	10,863	10,713	10,481
Life Skills Development - Level 3 (ADT) - Off Site	114	111	108

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	iBudget		
	Jan-15	Feb-15	Mar-15
Occupational Therapy	434	421	430
Occupational Therapy - Assessment	2	3	6
Personal Emergency Response System - Installation	0	0	0
Personal Emergency Response System - Service	137	136	137
Personal Supports	9,924	9,739	9,667
Physical Therapy	860	841	857
Physical Therapy - Assessment	2	6	8
Private Duty Nursing	230	227	223
Residential Habilitation - Assisted Living Facility (month)	255	246	251
Residential Habilitation - Behavioral Focus (day)	25	40	23
Residential Habilitation - Intensive Behavior (day)	370	356	365
Residential Habilitation - Intensive Behavior CTEP (day)	155	153	153
Residential Habilitation - Standard (day)	234	243	210
Residential Habilitation (month)	7,187	7,071	7,110
Residential or Skilled Nursing - LPN	119	117	116
Residential or Skilled Nursing - RN	34	31	35
Respiratory Therapy	33	36	33
Respiratory Therapy - Assessment	1	0	0
Respite (under 21 only)	1,700	1,652	1,646
Respite, Skilled	2	3	3
Special Medical Home Care	18	18	18
Specialized Mental Health Assessment	5	2	0
Specialized Mental Health Counseling	205	201	190
Speech Therapy	454	422	432
Speech Therapy - Assessment	0	0	0
Support Coordination	23,625	23,263	22,861
Support Coordination - CDC Consultant	1,633	1,623	1,507
Support Coordination (Enhanced)	7	4	7
Support Coordination (Limited)	3,047	2,943	2,864
Support Coordination (Limited) - CDC	379	369	345
Supported Living Coaching	3,458	3,293	3,200
Transportation - mile	76	75	75
Transportation - month	1,111	1,108	1,094
Transportation - trip	6,567	6,449	6,211
Unduplicated Client Count	29,962	29,833	29,826

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid HP Data Warehouse as of May 1, 2015.

2. Services Received by Persons on the Wait List

Table 2a lists APD services received in January, February, and March 2015 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Wait List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan services and other state and local resources.

Table 2a: Client Counts of Services Provided
by APD to Clients Waiting for Waiver Services as
of January 1, February 1, and March 1, 2015

	Service Month		
	Jan-15	Feb-15	Mar-15
Total Wait List at Beginning of Month*	20,725	20,764	20,903
Paid Service			
ADULT DAY TRAINING	254	267	258
BEHAVIOR ANALYSIS	16	9	3
COMMUNITY BASED EMPLOYMENT	227	238	230
DENTAL SERVICES			2
ELIGIBILITY AND PLANNING	2	4	3
HOME ASSISTANCE	29	25	21
LONG-TERM RESIDENTIAL SVS	9	10	
MEDICAL SERVICES	1	1	2
PERSONAL/FAMILY CARE SVS	28	33	35
OCCUPATIONAL THERAPY			
PHYSICAL THERAPY		1	
PSYCHOLOGICAL THERAPY	63	51	48
RECREATIONAL THERAPY			
RESIDENTIAL HABILITATION SVS	32	35	25
RESPIRE CARE	42	36	51
SPEECH THERAPY			
SUPPLIES/EQUIPMENT	39	80	185
SUPPORT COORDINATION	352	345	342
SUPPORTED LIVING	25	34	43
TRANSPORTATION	119	117	118
PRESUPPORTED TRANSITIONAL LIVING	47	36	34
Unduplicated Client Total	1,139	1,158	1,144

Source: Wait List and ABC Databases as of May 1, 2015.

Table 2b provides client counts of persons on the Wait List who received APD services (see Table 2a) or Medicaid State Plan services. APD services are provided with state General Revenue and grant dollars. Because some clients received both APD and Medicaid State Plan services, the client count in the third row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Wait List clients who received neither APD services nor Medicaid State Plan services. Note that some Wait List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of January 1, February 1, and March 1, 2015*

	Service Month		
	Jan-15	Feb-15	Mar-15
Total Wait List at Beginning of Month*	20,725	20,764	20,903
Client Count for APD Non-Medicaid Services	1,139	1,158	1,144
Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	10,415	10,867	10,782
All Wait List Clients Receiving Services**	11,096	11,530	11,451
Count of Wait List Clients Not Receiving Services	9,629	9,234	9,452
Percent of Wait List Not Receiving Services	46.5%	44.5%	45.2%

*Clients are counted only once regardless of the number of different services they received.

** Unduplicated count for the clients receiving Medicaid services or APD services or both.

***Based on historical payment patterns, Medicaid State Plan services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: Wait List and ABC Databases and Medicaid HP Data Warehouse as of May 1, 2015.

3. Waiver Enrollment in Fiscal Year 2014-15

Table 3 summarizes new waiver enrollment to date in FY 2014-15. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. Foster kids are children on the Wait List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Pursuant to proviso language in the General Appropriations Act of 2006, these children have been given priority enrollment over other persons on the Wait List for waiver services, with the exception of crisis enrollments. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Wait List as referenced in proviso language. Individuals who have enrolled with this additional funding are tracked separately from those that were already enrolled from previous years.

Table 3: New Waiver Enrollment

Month	Crisis	Waitlist Offerred & Enrolled	CBC Kids Enrolled	Total
Enrolled	Enrolled			
Jan-13	23			23
Feb-13	19			19
Mar-13	10			10
Apr-13	27			27
May-13	33			33
Jun-13	18			18
Jul-13	27	568	5	600
Aug-13	27	55	3	85
Sep-13	57	18	3	78
Oct-13	58	7	2	67
Nov-13	43	385	3	431
Dec-13	49	56	4	109
Jan-14	40	42	3	85
Feb-14	39	14	2	55
Mar-14	35	6	6	47
Apr-14	44	15	4	63
May-14	63	10	4	77
Jun-14	52	137	5	194
Jul-14	54	94	5	153
Aug-14	41	649	4	694
Sep-14	57	37	2	96
Oct-14	61	43	3	107
Nov-14	61	16	3	80
Dec-14	53	10	7	70
Jan-15	31	20	1	52
Feb-15	47	14	8	69
Mar-15	53	11	4	68
Total	1,122	2,207	81	3,410

Source: APD Database as of May 1, 2015 and other ABC tracking systems.

4. Length of Wait for Waiver Services

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Wait List if they currently do not need services or do not qualify for Medicaid at the time. These counts include those who may not have needed services at the time of waiver enrollment offers and those who have received other state assistance.

Table 4: Length of Wait for Any Waiver Services
as of May 1, 2015

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
1 Year or Less	May 1, 2014 or later	1,941	9.2
1+ to 2 Years	May 1, 2013 - April 30, 2014	1,300	6.2
2+ to 3 Years	May 1, 2012 - April 30, 2013	1,408	6.7
3+ to 4 Years	May 1, 2011 - April 30, 2012	1,456	6.9
4+ to 5 Years	May 1, 2010 - April 30, 2011	1,637	7.8
5+ to 6 Years	May 1, 2009 - April 30, 2010	1,694	8.0
6+ to 7 Years	May 1, 2008 - April 30, 2009	1,724	8.2
7+ to 8 Years	May 1, 2007 - April 30, 2008	1,737	8.2
8+ to 9 Years	May 1, 2006 - April 30, 2007	1,936	9.2
9+ to 10 Years	May 1, 2005 - April 30, 2006	1,558	7.4
More than 10 Years	On or before April 30, 2005	4,730	22.4
Total Wait List*		21,121	100.0

Source: Wait List Database as of May 1, 2015.

5. Projected Waiver Costs and Appropriations

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2014-15 Waiver Budget Forecast

FY 2014-15 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2014-15	0.4090	0.5910	
Appropriation	\$ 379,579,280	\$ 559,044,061	\$ 938,623,341
Corrected FMAP Adjustment			\$ -
Agency Budget Amendment - Transfer from AHCA ICF/DD to Waiver	\$ 974,166	\$ 1,434,752	\$ 2,408,918
New Appropriation	\$ 380,553,446	\$ 560,478,813	\$ 941,032,259
Less FY 2013-14 Projected Deficit	\$ -	\$ -	\$ -
Less FY 2014-15 Expenditures	\$ (380,553,446)	\$ (560,478,813)	\$ (941,032,259)
Total APD Waiver Balance FY 2014-15	\$0	\$0	\$0