



agency for persons with disabilities

State of Florida

**Home and Community Based Services (HCBS) Waiver
Monthly Surplus-Deficit Report for
Waiver Program Expenditures**

June 20, 2017

Appropriations and Expenditure Information

The Fiscal Year 2016-17 General Appropriations Act, House Bill 5001, includes proviso language for the Home and Community Based Services (HCBS) Waiver directing the Agency for Persons with Disabilities (APD) to provide the Governor, the President of the Senate, and the Speaker of the House of Representatives with monthly surplus-deficit reports projecting the total Medicaid waiver program expenditures for the fiscal year along with any corrective action plans necessary to align program expenditures with annual appropriations in accordance with sections 393.0661 (8) and (9), Florida Statutes. The Social Services Estimating Conference approved the reporting format and established a baseline based on the appropriations. The adopted baseline is the sole basis of comparison for any projected surplus or deficit reflected in the reports and discrete adjustments are made with a separate entry showing each change. Sources of data are the General Appropriations Acts for Fiscal Years 2015-16 and 2016-17, and Agency for Health Care Administration (AHCA) billings.

FY 2015-16 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2015-16	0.3954	0.6046	
Appropriation	\$ 393,639,949	\$ 601,153,957	\$ 994,793,906
Corrected FMAP Adjustment			\$ -
Supplemental Appropriation - BOB Section 29 for US DOL	\$ 3,649,549	\$ 5,912,749	\$ 9,562,298
Agency Budget Amendment - EOG #B0496	\$ 49,660,098	\$ 75,160,200	\$ 124,820,298
New Appropriation	\$ 446,949,596	\$ 682,226,906	\$ 1,129,176,502
Less FY 2014-15 Projected Deficit	\$ -	\$ -	\$ -
Less FY 2015-16 Expenditures	\$ (390,267,545)	\$ (595,372,498)	\$ (985,640,043)
Less Expenditures from FY 2014-15 Paid in FY 2015-16 (Due to Reversion)	\$ (57,074,673)	\$ (87,454,760)	\$ (144,529,433)
Total APD Waiver Balance FY 2015-16	\$ (392,622)	\$ (600,352)	\$ (992,974)
FY 2016-17 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2016-17	0.3901	0.6099	
Appropriation	\$ 427,800,911	\$ 669,405,836	\$ 1,097,206,747
Corrected FMAP Adjustment			\$ -
New Appropriation	\$ 427,800,911	\$ 669,405,836	\$ 1,097,206,747
Less FY 2015-16 Deficit	\$ (392,622)	\$ (600,352)	\$ (992,974)
Less FY 2016-17 Estimated Expenditures	\$ (427,408,289)	\$ (668,805,484)	\$ (1,096,213,773)
Total APD Waiver Balance FY 2016-17	\$ -	\$ -	\$ -

Per section 40, Chapter 2015-232, GAA (SB2500) Laws of Florida, the unexpended balance of the HCBS Waiver category was reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.

Appropriations and Expenditures Information

Services are authorized by APD through individual cost plans. The recipient uses these authorized amounts to purchase services permitted in the cost plans. Service providers directly bill AHCA for payment and are permitted to bill up to one year after the service date. APD uses its Allocation, Budget, and Contracts (ABC) data system for approved cost plan amounts and AHCA's Florida Medicaid Management Information System (FMMS) data set for actual expenditures. The combined analysis of these data provides insight as to the actual utilization of services compared with the clients' authorized service plans.

Each month, AHCA submits an invoice to APD for provider payments made for waiver recipients. In turn, APD initiates a journal transfer to pay the invoice. In any given invoice, multiple service months are being reimbursed and frequently a payment will be for prior fiscal year claims. This creates a nonlinear relationship between the expenditure of APD appropriations and the actual claims paid on behalf of the program. Variable matching rates increase challenges in reconciling accounts.

The table below shows the actual state share of payments for invoices of AHCA billings from service providers for APD waiver programs. As the OMTF is a double budget entry, only those payments authorized to use unrestricted cash balances are charged to the trust fund.

AHCA Billings for Fiscal Year 2015-2016	General Revenue	OMTF	SSBG	Total State Funds
Jul-15	\$12,819,277			\$12,819,277
Aug-15	\$26,676,245			\$26,676,245
Sep-15	\$39,328,916			\$39,328,916
Oct-15	\$25,985,782			\$25,985,782
Nov-15	\$31,466,482			\$31,466,482
Dec-15	\$36,840,332			\$36,840,332
Jan-16	\$27,618,706			\$27,618,706
Feb-16	\$29,113,278			\$29,113,278
Mar-16	\$39,148,072			\$39,148,072
Apr-16	\$28,481,801			\$28,481,801
May-16	\$40,019,494			\$40,019,494
Jun-16	\$30,714,031			\$30,714,031
CF – July	\$19,289,485			\$19,289,485
CF – August	\$2,203,434			\$2,203,434
CF – September	\$169,588			\$169,588
Total Payments	\$389,874,923	\$0	\$0	\$389,874,923
FY 2014-15 Expenditures Paid from FY 2015-16 Funds (June 2015, July 2015 CF, August 2015 CF, & September 2015 CF)	\$57,074,673			\$57,074,673
Amount owed not paid	\$392,622			\$392,622
Total Actual and Invoiced	\$447,342,218	\$0	\$0	\$447,342,218
Appropriation Balance	(\$392,622)		\$0	(\$392,622)
Reversion of excess authority			\$0	\$0
Waiver Balance	(\$392,622)	\$0	\$0	(\$392,622)

Expenditure Outlook Information

This report provides actual payment amounts to AHCA. Each month's payment reduces the available balance. Providers can submit claims for up to 12 months after the date of service, therefore, there is a gap between the date of service and date of payment. The actual expenditures in FY 2016-17 for moving individuals off the waitlist will reflect a phased in enrollment and a period of 3-6 months typically needed to develop personalized support plans. These factors will result in a delay in expenditures for FY 2016-17. However, this budget will be needed in FY 2017-18 to annualize these individuals' finalized iBudget allocations.

FY 2016-17 APD Waiver Expenditures	Waiver (Excluding Waitlist Transitioned)			Waitlist Transitioned			AHCA Billings	Total Budget less Expenditures
	GR Budget Forecast	Actual Expenditures	Budget less Expenditures	GR Forecast	Actual Expenditures	Budget less Expenditures		
Appropriation	\$ 412,612,167			\$ 15,188,744				This column shows the difference of the GR budget forecast plus the GR Waitlist Forecast and actual expenditure by month
Adjusted Appropriation	\$ 412,612,167			\$ 15,188,744				
July Expenditures	\$ 13,146,573	\$ 9,792,292	\$ 3,354,281	\$ 483,941	\$ -	\$ 483,941	\$ 9,792,292	
August Expenditures	\$ 38,258,265	\$ 41,057,923	\$ (2,799,658)	\$ 1,408,332	\$ 4,481	\$ 1,403,851	\$ 41,062,404	\$ (1,395,807) *
September Expenditures	\$ 30,591,661	\$ 28,247,591	\$ 2,344,070	\$ 1,126,115	\$ 5,784	\$ 1,120,331	\$ 28,253,375	\$ 3,464,402
October Expenditures	\$ 31,719,647	\$ 32,937,282	\$ (1,217,635)	\$ 1,167,638	\$ 14,303	\$ 1,153,335	\$ 32,951,585	\$ (64,300) **
November Expenditures	\$ 40,045,777	\$ 41,779,650	\$ (1,733,873)	\$ 1,474,133	\$ 73,899	\$ 1,400,234	\$ 41,853,549	\$ (333,639)
December Expenditures	\$ 33,630,497	\$ 30,898,155	\$ 2,732,341	\$ 1,237,979	\$ 78,149	\$ 1,159,830	\$ 30,976,304	\$ 3,892,171
January Expenditures	\$ 37,005,888	\$ 42,537,823	\$ (5,531,935)	\$ 1,362,231	\$ 107,706	\$ 1,254,524	\$ 42,645,529	\$ (4,277,411)
February Expenditures*	\$ 31,056,650	\$ 32,766,355	\$ (1,709,705)	\$ 1,143,232	\$ 143,582	\$ 999,651	\$ 32,909,937	\$ (710,054)
March Expenditures	\$ 32,772,434	\$ 34,330,229	\$ (1,557,794)	\$ 1,206,392	\$ 173,642	\$ 1,032,750	\$ 34,503,871	\$ (525,044)
April Expenditures	\$ 31,123,406	\$ 30,370,197	\$ 753,209	\$ 1,145,690	\$ 171,160	\$ 974,530	\$ 30,541,357	\$ 1,727,739 ***
May Expenditures	\$ 38,890,497	\$ 44,861,369	\$ (5,970,872)	\$ 1,431,605	\$ 245,498	\$ 1,186,107	\$ 45,106,867	\$ (4,784,765)
June Expenditures	\$ 32,962,341			\$ 1,213,383				
Certified Forward – July	\$ 18,105,077			\$ 666,469				
Certified Forward – August	\$ 2,588,180			\$ 95,274				
Certified Forward – September	\$ 715,274			\$ 26,330				
Total FY 16-17 Allocation	\$ 412,612,167			\$ 15,188,744				
Total FY 16-17 Actual Expenditures		\$ 369,578,866	\$ (11,337,570)		\$ 1,018,204	\$ 12,169,083	\$ 370,597,070	\$ 831,513
FY 2015-16 Carry Forward Balance	\$ -	\$ 392,622	\$ (392,622)					\$ (392,622)
Total Projected APD Waiver Balance	\$ 412,612,167	\$ 369,971,488	\$ 42,640,679	\$ 15,188,744	\$ 1,018,204	\$ 12,169,083	\$ 370,597,070	\$ 438,891

* The AHCA invoice for August expenditures included a credit of \$171,704 for the Consumer Directed Care program (CDC+) for CDC+ Reinvestments.

** The AHCA invoice for October expenditures included a credit of \$123,052 for the Consumer Directed Care program (CDC+) for CDC+ Reinvestments.

*** The AHCA invoice for April expenditures included a credit of \$1,097,452 for the Consumer Directed Care program (CDC+) for CDC+ Reinvestments.

Service Utilization

APD monitors claims data and publishes data sets based on services provided. As the data sets are not final until AHCA compiles and adjusts the amounts, the totals are preliminary and useful in forecasting expenditures and service utilization. However, these totals do not represent the exact final amounts due. This table constitutes a consolidated view of all services for iBudget and CDC+ data.

Service Groupings	June-16	July-16	August-16	September-16	October-16	November-16	December-16	January-17	February-17	March-17	April-17	May-17	Grand Total
CDC+Allowance	6,841,864	7,028,878	7,386,430	7,200,448	7,595,872	7,442,418	7,469,702	7,640,152	7,674,921	7,778,191	7,941,945	7,914,533	89,915,356
Behavior Analysis	1,443,929	1,463,151	1,653,731	1,450,631	1,559,490	1,790,665	1,470,107	1,499,466	1,547,764	1,759,578	1,546,016	1,917,419	19,101,949
Behavior Assistance	344,942	341,493	365,102	327,184	306,545	387,020	326,761	269,494	296,197	319,671	312,564	343,981	3,940,953
Behavior Intense Svcs	4,377,473	4,304,807	6,341,671	5,576,533	5,471,614	6,757,901	5,682,363	5,497,351	6,885,558	6,025,663	5,918,102	6,387,626	69,226,661
Behavioral Habilitation	148,481	109,727	187,585	151,729	140,842	146,624	171,096	132,772	146,399	183,988	190,895	221,838	1,931,976
Diet & Dental Care	577,309	517,465	510,933	442,709	448,167	478,884	433,165	303,635	448,301	593,912	476,301	623,913	5,854,692
Employment	433,655	425,451	496,570	414,751	416,027	505,545	397,957	398,653	418,691	509,242	437,410	465,145	5,319,099
Home & Environ Access	88,547	105,643	110,612	93,124	26,775	87,210	51,745	78,919	68,020	102,517	91,848	105,184	1,010,143
In-Home Svcs/Companion	19,949,426	20,554,479	26,962,707	21,316,831	21,337,715	27,058,342	22,118,224	21,522,873	22,284,187	27,790,435	22,115,192	28,124,872	281,135,282
Med/Personal Equip	53,193	118,143	107,774	65,524	27,134	69,782	86,572	89,802	63,157	64,898	107,186	95,233	948,397
Medical Supplies	989,253	882,031	1,302,978	997,973	729,038	796,843	1,339,640	1,271,186	1,331,235	1,349,565	932,305	1,365,429	13,287,477
Nursing/Spcl Med Care	2,629,667	2,653,901	3,526,897	2,776,039	2,698,024	3,251,662	2,709,362	2,832,551	2,842,007	3,476,390	2,911,922	3,807,461	36,115,883
Residential Habilitation	27,357,484	20,159,395	42,866,158	16,694,154	28,743,725	40,525,676	20,543,276	28,261,603	30,521,527	38,857,055	21,654,380	45,555,496	361,739,929
Respite	1,382,998	1,453,226	1,798,126	1,279,114	1,261,672	1,655,764	1,319,869	1,328,874	1,283,560	1,615,349	1,265,513	1,622,504	17,266,570
Support Coach	1,933,957	1,942,807	2,529,123	1,841,366	1,969,637	2,296,278	1,995,622	2,008,137	1,983,113	2,510,968	1,966,883	2,512,577	25,490,468
Support Coordination	4,074,533	4,254,716	5,109,729	3,824,276	4,091,602	5,038,232	4,330,455	3,865,170	4,533,704	5,389,273	4,182,444	5,495,921	54,190,056
Therapeutic Svcs	850,473	755,901	947,460	786,308	779,301	1,088,245	868,661	775,116	789,919	999,210	810,288	1,080,528	10,531,411
Training - Facility	6,267,224	5,850,376	7,375,524	6,987,502	6,456,181	7,316,944	6,992,779	5,724,673	6,919,030	7,552,300	6,996,987	7,450,761	81,890,279
Training Off Site	54,299	80,289	90,753	97,674	87,446	105,721	113,698	91,296	98,667	100,908	102,950	98,781	1,122,484
Transportation	2,267,990	2,164,726	2,838,773	2,391,433	2,404,833	2,751,501	2,430,971	2,131,242	2,489,884	2,891,758	2,694,603	2,921,510	30,379,223
Grand Total	82,066,697	75,166,605	112,508,635	74,715,304	86,551,641	109,551,256	80,852,029	85,722,965	92,625,841	109,870,871	82,655,734	118,110,710	1,110,398,288

Source: APD's Allocation, Budget and Contract Control (ABC) System.

If you have questions, please feel free to contact:

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