



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Fourth Quarter Fiscal Year 2007/2008
(April, May, June 2008)

Submitted August 2008



Jim DeBeaugrine,
Director

Charlie Crist,
Governor

Introduction

Each month, the **Agency for Persons with Disabilities (APD)** serves more than 30,000 people across Florida with autism, mental retardation, spina bifida, cerebral palsy, Prader-Willi syndrome, and children aged 3 to 5 who are at high risk of being diagnosed with a developmental disability. The great majority of APD's services are provided through three Medicaid waivers administered by the agency.

To meet the needs of the diverse and often medically complex population it serves, APD offers a wide array of services. Some of the 33 services currently provided in the Developmental Disabilities Home and Community-based Services waiver (DD/HCBS) include nursing care, occupational therapy, behavior analysis, medical equipment, and adult day training. Companies under contract with the agency determine the medical need for a service and authorize it prior to delivery.

From April through June 2008, over 6,000 people on the wait list received services through the Family and Supported Living waiver and more than 8,500 received some state services, which leaves about 8,000 people who did not receive any state services. The number without services is something this agency hopes to address even as it works to hold spending within the budget appropriated by the legislature. APD's recently proposed measures to further limit spending in response to declining state revenues have concentrated on greater system efficiencies that will minimize any adverse impact on those we serve.

The agency worked with its stakeholders to develop implementation plans for the adjustments to the waiver program mandated by the legislature in 2007. The goal of implementing the changes is to ensure the health and safety of the people the agency currently serves while making adjustments to reduce costs. Part of the mandated change entails the implementation of new waiver programs which better match levels of client need with appropriate levels of care. APD worked with the Agency for Health Care Administration to obtain the federal approval for this new program.

Please share with us any comments or suggestions you have regarding this report. Our Legislative Affairs Director, Lucy Mohs, may be reached at 414-0488.

Glossary of Terms Used in Report

APD-Agency for Persons with Disabilities

CDC+ Waiver-Consumer-Directed Care Plus Waiver

FSL Waiver-Family and Supported Living Waiver

DD/HCBS Waiver- Developmental Disabilities Home and Community-Based Services Waiver

IFS-Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(5), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

Month	DD/HCBS Waiver*		FSL Waiver		Both Waivers	
	Enrolled	Total Waiver	Enrolled	Total Waiver	Enrolled	Total Waiver
	Clients**	Payments	Clients**	Payments	Clients**	Payments
Apr-08	24,995	\$88,979,124.91	6,044	\$4,199,095.99	31,039	\$93,178,220.90
May-08	24,989	\$68,801,019.07	6,039	\$3,642,905.39	31,028	\$72,443,924.46
Jun-08	24,977	\$78,239,815.31	6,040	\$3,784,736.49	31,017	\$82,024,551.80

** CDC + enrollment is included. *** As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database as of August 1, 2008, and Medicaid EDS Data Warehouse as of August 4, 2008.

Table 1b summarizes types of services received by waiver enrollees. In addition to the DD/HCBS Waiver and the FSL Waiver, a third waiver, the Consumer-Directed Care Plus (CDC+) Waiver, is included. This waiver offers comparable services to the DD/HCBS Waiver, but it allows much greater flexibility and choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and non-waiver services to persons enrolled in a waiver. Room and Board, paid entirely from General Revenue, provides payment to residential providers for clients with identified support and income needs.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services					
	DD/HCBS	CDC+	FSL	IFS	Room\Board	Client Total*
Apr-08	22,927	954	5,407	1,136	931	29,304
May-08	22,763	954	5,288	1,293	926	29,033
Jun-08	16,016	956	3,244	1,272	871	20,899

Source: ABC Database as of August 1, 2008, and Medicaid EDS data warehouse as of August 4, 2008. The numbers for Jun 08 Waiver services rendered are lower due to incomplete claims submitted to new EDS system.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Apr-08	31,039	19,788	63.8%
May-08	31,028	18,831	60.7%
Jun-08	31,017	16,277	52.5%

*Enrolled as of the first day of the month in which the services were received

Source: ABC as of August 1, 2008, and Medicaid EDS Data Warehouse as of August 4, 2008.

Jun 08 Medicaid State Plan numbers are lower due to incomplete claims submitted to new EDS system.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

Service Description	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Apr-08	May-08	Jun-08	Apr-08	May-08	Jun-08	Apr-08	May-08	Jun-08
Adult Day Training - Faculty Based	10,090	9,739	3,005				902	845	845
Adult Day Training - Off Site	4	3	2				1	1	1
Adult Dental Services	1,456	1,316	676						
Behavior Analysis Level 1	1,882	1,791	693				206	190	190
Behavior Analysis Level 2	941	867	328				130	121	121
Behavior Analysis Level 3	1,301	1,227	407				234	188	188
Behavior Assistant Services	467	474	213				20	22	22
Behavioral Analysis Services Assessment	97	77	21				13	16	16
CDC Consultant Services				592	572	91			
CDC Monthly Allowance				952	953	956			
Companion	6,213	6,047	4,111						
Consumable Medical Supplies	5,568	4,968	2,985				1,246	1,052	1,052
Dietician Services	129	128	92						
Durable Medical Equipment	48	31	23				6	4	4
Environmental Accessibility Adaptations	25	29	17				7	5	5
Environmental Accessibility Assessment	14	22	9				1	5	5
In-Home Support Services (Awake) Qtr. Hour	972	947	661				2,074	1,991	1,991
In-Home Support Services (live-in) Day	1,699	1,667	1,115				3	2	2

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Apr-08	May-08	Jun-08	Apr-08	May-08	Jun-08	Apr-08	May-08	Jun-08
Medication Review	394	368	227						
Occupational Therapy	546	522	403						
Occupational Therapy Assessment	20	10	7						
Personal Care Assistance	4,043	3,948	3,102						
Personal Emergency Response - Installation	1								
Personal Emergency Response - Service	95	87	17				6	5	5
Physical Therapy	1,010	990	773						
Physical Therapy - Assessment	24	25	13						
Private Duty Nursing	85	81	63						
Private Duty Nursing - RN	1	1	1						
Residential Habilitation - Behavior Focused Day	10	6							
Residential Habilitation - Behavior Focused Month	878	855	29						
Residential Habilitation - Intensive Behavior Day	400	385	76						
Residential Habilitation - Quarter hour	109	103	66						
Residential Habilitation - Standard Day	327	269	56						
Residential Habilitation - Standard Monthly	5,235	5,109	187						
Residential Nursing Services	201	172	38						
Residential Nursing Services - RN	5	7							
Respiratory Therapy	16	14	12						
Respiratory Therapy Assessment			1						
Respite Care - Day	417	396	210				65	57	57
Respite Care - Quarter Hour	2,076	2,001	1,359				834	785	785
Skilled Nursing - LPN	74	66	30						
Skilled Nursing - RN	19	19	10						
Special Medical Home Care	13	13	13						
Specialized Mental Health - Assessment	16	19	4						
Specialized Mental Health - Therapy	666	629	313						
Speech Therapy	1,115	1,052	830						
Speech Therapy - Assessment	29	21	7						
Support Coordination	20,199	19,719	4,345				2,906	2,743	2,743
Support Coordination - Transitional	11	3							
Support Coordination Limited	1,711	1,635	320				2,099	2,062	2,062
Supported Employment	2,434	2,310	618				329	290	290
Supported Living Coaching	3,630	3,480	1,736				202	192	192
Transportation - Mile	141	146	2				11	11	11
Transportation - Month	1,258	1,241	197				128	117	117
Transportation - Trip	7,834	7,467	2,758				512	472	472
Unduplicated Client Count	22,927	22,763	16,016	954	954	956	5,407	5,288	3,244

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims. Source: Medicaid EDS Data Warehouse as of August 4, 2008. Jun 08 numbers are lower due to incomplete claims submitted to new EDS system.

2. Services Received by Persons on the Wait List

Table 2a lists non-Medicaid APD services received in April, May, and June 2008, by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the wait list aged 21 or younger may also receive services from the Florida Department of Education and other state and local resources.

Table 2a: Client Counts of Non-Medicaid Services Provided by APD to Clients Waiting for Waiver Services as of April 1, May 1, and June 1, 2008*

	Service Month		
	Apr-08	May-08	Jun-08
Total Wait List at Beginning of Month*	16,896	17,083	17,295
Paid Service			
ADULT DAY TRAINING	117	122	120
BEHAVIOR ANALYSIS	16	18	15
COMMUNITY BASED EMPLOYMENT	169	190	158
DENTAL SERVICES	12	19	16
ELIGIBILITY DETERMINATION AND SUPPORT PLANNING	13	21	12
HOME ASSISTANCE	29	34	39
MEDICAL SERVICES	8	6	11
NON-RESIDENTIAL SUPPORTS AND SERVICES	0	1	2
OCCUPATIONAL THERAPY	1	2	1
PERSONAL AND FAMILY CARE SERVICES	15	17	15
PHYSICAL THERAPY	2	3	3
PSYCHOLOGICAL THERAPY	64	70	73
RECREATIONAL THERAPY	15	38	20
RESIDENTIAL HABILITATION SERVICES	28	25	25
RESPIRE	39	71	81
SPEECH THERAPY	2	5	5
SUPPLIES AND EQUIPMENT	144	322	279
SUPPORT COORDINATION	332	271	319
SUPPORTED LIVING	41	46	42
TRANSPORTATION	108	105	101
LONG TERM RESIDENTIAL SERVICES	13	12	13
Unduplicated Client Total	963	1,160	1,140

*FSL Waiver enrollees on the wait list for the DD/HCBS Waiver are excluded from the table. See Tables 1a, 1b, 1c and 1d for information on services used by waiver enrollees.

Source: Wait List and ABC Databases as of August 1, 2008

Table 2b provides client counts of persons on the wait list who received APD non-Medicaid services (see Table 2a) or Medicaid State Plan services. APD non-Medicaid services are provided with state General Revenue and grant dollars. Because some clients received both APD non-Medicaid and State Plan services, the client count in the third row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on wait list clients who received neither non-Medicaid nor Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of April 1, May 1, and June 1, 2008*

	Service Month		
	Apr-08	May-08	Jun-08
Total Wait List at Beginning of Month*	16,896	17,083	17,295
Client Count for APD Non-Medicaid Services**	963	1,160	1,140
Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	8,109	8,060	7,721
All Wait List Clients Receiving Services**	8,653	8,685	8,401
Count of Wait List Clients Not Receiving Services	8,243	8,398	8,894
Percent of Wait List Not Receiving Services	48.8%	49.2%	51.4%

*FSL waiver enrollees on the wait list are excluded from the table.

**Clients are counted only once regardless of the number of different services they received.

***Based on historical payment patterns, Medicaid State Plan services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: Wait List and ABC as of August 1, 2008, and Medicaid EDS Data Warehouse as of August 4, 2008

3. Waiver Enrollment Offers for Persons on the Wait List as of July 1, 2008

Tables 3a and 3b provide the number of individuals on the July 1, 2008, wait list who were offered waiver enrollment in FY 2005/06, FY 2006/07 and FY 2007/08 to date, with results of those offers indicated. About 36 percent of the clients on the wait list as of July 1, 2008, have been previously offered waiver enrollment since June 2005. Nearly two-thirds of those receiving offers are enrolled in the FSL Waiver even as they choose to wait for enrollment in the DD/HCBS Waiver.

Table 3a: Waiver Enrollment Offers for Persons on the Wait List as of July 1, 2008

	Number	Percent
Total Wait List Count*	23,449	100.0%
Wait List Clients Offered Waiver**		
FY 2007-08 to date	215	0.9%
FY 2006/07	326	1.4%
FY 2005/06	7,891	33.7%
Total	8,432	36.0%

*In contrast to counts in Tables 2a, 2b, and 5, the wait list count in this table includes FSL Waiver enrollees waiting for enrollment in the HCBS/DD Waiver, since many of these persons received waiver offers.

**Records of waiver enrollment offers prior to June 2005 are not available.

Source: Wait List Database and Waiver Enrollment Tracking System as of August 1, 2008.

Table 3b: Outcomes of Waiver Enrollment Offers for Persons on the Wait List as of July 1, 2008

Disposition of Waiver Offers	Offers in	Offers in	Offers in	Total	
	FY 2005/06	FY 2006/07	FY 2007/08	Number	Percent
Enrolled in FSL Waiver	4,223	326	215	4,764	56.5%
Remained in FSL Waiver	936	0	0	936	11.1%
Remained on non-Medicaid Services	12	0	0	12	0.1%
Ineligible for Waiver	309	0	0	309	3.7%
Received and Declined Offer	728	0	0	728	8.6%
Offer Sent--No Response	1,467	0	0	1,467	17.4%
Other	216	0	0	216	2.6%
Total	7,891	326	215	8,432	100.0%

In contrast to counts in Tables 2a, 2b and 5, the wait list count in this table includes FSL enrollees waiting for DD/HCBS services, since many of these persons received waiver offers.

Source: Wait List Database and Waiver Enrollment Tracking System as of August 1, 2008.

4. Waiver Enrollment in Fiscal Year 2007-08

Table 4 summarizes new waiver enrollment to date in FY 2007-08. The counts are broken out by client category, waiver, and month of enrollment.

Brown v. Bush enrollments are placements of institutionalized persons on a waiver pursuant to the lawsuit settlement. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment. Foster kids are children on the wait list for the DD/HCBS Waiver who have open cases in the Department of Children and Families' child welfare system. Pursuant to proviso language in the General Appropriations Act of 2006, these children have been given priority enrollment over other persons on the wait list for waiver services, with the exception of crisis enrollments.

Table 4: New Waiver Enrollment by Waiver and Enrollment Type

Month	DD/HCBS Waiver			FSL Waiver		Total	Total	Total
	Brown v. Bush	Crisis Cases	Foster Kids	Crisis Cases	Foster Kids	Crisis Cases	Foster Kids	
Enrolled	Bush	Cases	Kids	Cases	Kids	Cases	Kids	Enrolled
Oct-06		30		20		50		50
Nov-06	2	48	77	21	68	69	145	216
Dec-06		52	53	24	66	76	119	195
Jan-07	10	29	16	7	22	36	38	84
Feb-07	4	12	2	10	1	22	3	29
Mar-07	4	15		13	3	28	3	35
Apr-07	6	18		6		24		30
May-07	14	52	1	35		87	1	102
Jun-07	12	30	1	16		46	1	59
Jul-07		26		19		45		45
Aug-07		25		23		48		48
Sep-07		29		25		54		54
Oct-07		42		29		71		71
Nov-07		36		24		60		60
Dec-07		27		18		45		45
Jan-08	3	48		21		69		72
Feb-08	20	22		12		34		54
Mar-08	2	34		26		60		62
Apr-08	1	34				34		35
May-08	4	69				69		73
Jun-08	7	54				54		61
Total	89	732	150	349	160	1081	310	1480

Source: ABC Database as of August 1, 2008, and other APD tracking systems

5. Length of Wait for Waiver Services

Table 5 gives a frequency distribution of the length of time individuals have been waiting for waiver services. Currently, the agency policy allows people to remain on the wait list if they refuse services, don't qualify for services, or do not respond to enrollment offers. The list is never purged so some people will always remain on the wait list. These counts include those who may have refused one or more earlier waiver enrollment offers and those who have received other state assistance. Persons enrolled in the Family and Supported Living Waiver are not included in the table.

Table 5: Length of Wait for Any Waiver Services
as of July 1, 2008

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
6 Months or Less	Later than December 31, 2007	1,393	7.9%
6+ to 12 Months	July 1, 2007 - December 31, 2007	1,321	7.5%
12+ to 18 Months	January 1, 2007 - June 30, 2007	1,567	8.9%
18+ to 24 Months	July 1, 2006 - December 31, 2006	1,596	9.1%
24+ to 30 Months	January 1, 2006 - June 30, 2006	1,459	8.3%
30+ to 36 Months	July 1, 2005 - December 31, 2005	1,245	7.1%
36+ to 42 Months	January 1, 2005 - June 30, 2005	1,206	6.9%
42+ to 48 Months	July 1, 2004 - December 31, 2004	1,099	6.3%
4+ to 5 Years	July 1, 2003 - June 30, 2004	2,725	15.5%
More than 5 Years	Earlier than July 1, 2003	3,950	22.5%
Total Wait List*		17,561	100.0%

*Excluding Family and Supported Living Waiver enrollees.

Source: Wait list Database as of August 1, 2008.

6. Projected Waiver Costs and Appropriations

Table 6 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 6: Fiscal Year 2007-08 Waiver Budget Forecast

	DD/HCBS Waiver*	FSL Waiver	Total
Appropriations for FY 2007-08			
State Funds	\$382,226,395	\$32,126,817	\$414,353,212
Federal Funds	\$504,815,601	\$42,430,661	\$547,246,262
Total	\$887,041,996	\$74,557,478	\$961,599,474
Adjust for impact of Special Session C			
State Funds	(\$1,955,494)	(\$180,480)	(\$2,135,974)
Federal Funds	(\$2,582,668)	(\$238,364)	(\$2,821,032)
Total	(\$4,538,162)	(\$418,844)	(\$4,957,006)
Available Appropriations			
State Funds	\$380,227,117	\$31,946,337	\$412,173,454
Federal Funds	\$502,276,717	\$42,192,297	\$544,469,014
Total	\$882,503,834	\$74,138,634	\$956,642,468
Projected Expenditures Including Effect of Policy Changes from SB 1124			
State Funds	\$396,227,909	\$20,452,552	\$416,680,461
Federal Funds	\$523,307,734	\$27,012,178	\$550,319,912
Total	\$919,535,643	\$47,464,730	\$967,000,373
Projected Surplus/Deficit			
State Funds	(\$16,000,792)	\$11,674,265	(\$4,326,527)
Federal Funds	(\$21,031,017)	\$15,418,483	(\$5,612,534)
Total	(\$37,031,809)	\$27,092,748	(\$9,939,061)

*Budget and expenditures for the CDC+ Waiver are included.

**The GAA has been corrected to reflect the FMAP reduction effective October 1, 2007.